

Authority to Solicit and Accept or Execute Certain Extramural Grants and Contracts

(Delegation of Authority SCDA-RCG001)

I. Delegation Summary

Delegated To: Executive Vice Chancellor
Associate Vice Chancellor of Research
Director, Office of Sponsored Projects (OSP)
OSP Research Liaison Officer
OSP Senior Research Administrators
OSP Proposal Specialists

Scope of Delegation: This delegation provides the authority to solicit and accept or execute certain extramural grants and contracts for extramurally supported research, scholarly or professional training, or public service programs.

Delegated By: Chancellor

Issuing Date: December 7, 2005

II. Detailed Delegation

A. Detailed Delegation Statement

This delegation confirms the authority of the administrative officers below to approve proposals with annual direct costs not exceeded as specified, and to accept and execute certain extramural grants and contracts with annual direct costs as specified, subject to all conditions and limitations in the delegation to the Chancellor.

SOLICIT/ACCEPT CERTAIN EXTRAMURAL CONTRACTS & GRANTS:**SCDA-RCG0001**

Effective Date: December 7, 2005

Page 2 of 4

Redelegated to:	Authority to approve proposals with annual direct costs not exceeding:	Authority to determine necessity of legal review before acceptance/execution:	Authority to accept or execute grants or contracts with annual direct costs not exceeding:
Executive Vice Chancellor	\$5,000,000		\$5,000,000
Vice Chancellor for Research	\$2,000,000	√	\$2,000,000
Director, Office of Sponsored Projects	\$2,000,000	√	\$2,000,000
Research Liaison Officer, Office of Sponsored Projects	\$2,000,000	None	\$2,000,000
Senior Research Administrator(s), Office of Sponsored Projects	\$1,000,000	None	\$1,000,000
Proposal Specialist(s), Office of Sponsored Projects	\$500,000 (grant-related matters only)	None	None

For purposes of this delegation, the term grant includes grants from private sources, but excludes gifts as defined in the Guidelines for Review of Gifts/Grants for Research issued by the President on July 8, 1980.

B. Restrictions/Exceptions

Exercise of this authority is subject to all the restrictions, limitations, conditions, and instructions outlined in the Presidential Delegation of Authority to Chancellors, DA2036, dated March 10, 1995 as well as the following:

1. In accordance with established campus procedures, all grant or contract proposals will be processed through the UCSC Office of Sponsored Projects prior to submittal.
2. This re-delegation does not extend to the solicitation and acceptance of gifts, as outlined in the Presidential Delegation of Authority to Chancellors, DA2011, dated March 23, 1994.
3. A determination of the necessity for legal review by the Director, Office of Sponsored Projects, will be made before acceptance or execution of any grant or contract.

C. Authority to redelegate

This authority may not be redelegated.

D. Definitions

Grant vs. Gift: In general, funds should be classified as gifts when the following characteristics exist:

- the donor does not impose contractual requirements;
- funds are awarded irrevocably.

In general, funds should be classified as grants when the following characteristics exist:

- provision for audits by or on behalf of the grantor;
- the grantor is entitled to receive some consideration such as a detailed technical report of research results or a report of expenditures;
- testing or evaluating of proprietary products is involved;
- the research is directed to satisfying specific grantor requirements (e.g., terms and conditions stating a precise scope of work to be done rather than a general area of research);
- a specified period of performance is prescribed or termination is at the discretion of the grantor;
- funds unexpended at end of period shall be returned to the grantor;
- patent rights requested by grantor.

Additional details are found in the Guidelines for Review of Gifts/Grants for Research issued by the President on July 8, 1980;

III. "Supersedes" Notification

This delegation of authority supersedes SCDA-RCG0001, issued and effective February 26, 1999.

IV. Getting Help

The Office of Sponsored Projects can respond to questions about this delegation of authority.

If you need help with ...	Contact ...
... distinguishing between a gift and a grant.	The Office of Sponsored Projects (x9-5278) or consult the UC Contract and Grant Manual, Chapter 9, Section 510, available on-line at http://www.ucop.edu/raohome/cgmanual/chap09.html or the UC Development Policy and Administration Manual, Chapter III, available on-line at gopher://gopher.ucop.edu:70/00/UC%20Systemwide%20Information%20Services/Universitywide%20Policies%20and%20Procedures/dev2/dpa/chpt3/dpa3d .
... campus procedures for preparing and processing grant proposals.	The Office of Sponsored Projects (x9-5278) or consult the UCSC "PI Handbook," available on-line at http://www.ucsc.edu/osp/DS.html .

V. Authority/References

References

"*Delegation of Authority--To Solicit and Accept or Execute Certain Extramural Grants and Contracts*", Presidential Delegation of Authority to Chancellors DA2036, dated March 10, 1995

<http://www.ucop.edu/ucophome/coordrev/da/da2036.html>

Guidelines for Review of Gifts/Grants for Research issued by the President on July 8, 1980

UC Contract and Grant Manual

<http://www.ucop.edu/raohome/cgmanual/>

UC Development Policy Manual

<http://www.ucop.edu/ucophome/policies/devpol/>

VI. Copies

The following individuals/offices were provided copies of this delegation

Secretary of The Regents

General Counsel and Vice President for Legal Affairs

Senior Vice President—Business and Finance

Special Assistant—Coordination and Review