

**UNIVERSITY OF CALIFORNIA, SANTA CRUZ**  
**COPYRIGHT OWNERSHIP AND DISPOSITION AGREEMENT**  
Covering Ownership and Disposition of Copyrightable Works  
Produced Under University Auspices

1. Description of Material

Title: \_\_\_\_\_  
Series title, if any: \_\_\_\_\_  
Medium/form: \_\_\_\_\_  
Brief description: \_\_\_\_\_  
(Detailed information to be entered on Attachment I.)

2. Author

Name: \_\_\_\_\_ Payroll title: \_\_\_\_\_  
Basis of participation:  
\_\_\_\_ within the course and scope of University employment;  
\_\_\_\_ within the scope of a sponsored research agreement; (Please attach pertinent details.)  
\_\_\_\_ pursuant to a facilities use agreement; (Please attach.)  
\_\_\_\_ within the scope of independent academic research (not pursuant to a sponsored research or facilities use agreement);  
\_\_\_\_ outside the course and scope of University employment (not pursuant to a sponsored research or facilities use agreement);  
\_\_\_\_ Other: \_\_\_\_\_

3. Co-author (if any)

Name: \_\_\_\_\_ Payroll title: \_\_\_\_\_  
Basis of participation:  
\_\_\_\_ within the course and scope of University employment;  
\_\_\_\_ within the scope of a sponsored research agreement; (Please attach pertinent details.)  
\_\_\_\_ pursuant to a facilities use agreement; (Please attach.)  
\_\_\_\_ within the scope of independent academic research (not pursuant to a sponsored research or facilities use agreement);  
\_\_\_\_ outside the course and scope of University employment (not pursuant to a sponsored research or facilities use agreement);  
\_\_\_\_ Other: \_\_\_\_\_

4. Other creative contributors or participants

<u>Name</u>	<u>Basis of participation</u>
_____	_____
_____	_____
_____	_____

5. Use of University facilities: (Please describe the University facilities used in this project, including type of facility and extent of use.) \_\_\_\_\_

(If University facilities are used to benefit a project conducted outside the scope of employment, a facility use agreement is normally required prior to use.)

6. Production financing by fund source: Please use Attachment II to list the value of all resources contributed to the project by the University, sponsoring agencies, employees, and others. (This includes any unpaid personal time contributed by the author and/or co-author.)

7. Titles and credits to be incorporated: Please use Attachment III to list titles and credits, including any proposed use of the University or campus name.

8. Proposed Copyright ownership:

- \_\_\_\_\_ Author(s)
- \_\_\_\_\_ The Regents of the University of California
- \_\_\_\_\_ Sponsoring agency: \_\_\_\_\_
- \_\_\_\_\_ Other (specify): \_\_\_\_\_

\_\_\_\_\_ None (Material to be released into the public domain.)

Note: If the copyright ownership proposed above is a variance from the general University copyright policy, explain the justification for this: \_\_\_\_\_

\_\_\_\_\_

10. Conditions affecting subsequent uses of materials

\_\_\_\_\_ University reserves the right to a free and irrevocable license to non-profit uses of materials in connection with its educational, research and public service functions, paying only costs of reproduction, shipping, insurance and handling (applicable when materials are produced with University funds or resources and the copyright does not vest in the University).

\_\_\_\_\_ Author reserves the right to purchase reproductions of the material for personal uses, paying only costs of reproduction, shipping, insurance and handling. (May apply when the copyright vests in the University.)

\_\_\_\_\_ University agrees to consider comments of Author regarding subsequent presentations of the material. (May apply when the copyright vests in the University.)

\_\_\_\_\_ Other (e.g., prior contractual agreements) Please specify: \_\_\_\_\_

\_\_\_\_\_

11. Plans for extramural distribution of materials

\_\_\_\_\_ No systematic extramural distribution of materials is to occur.  
 \_\_\_\_\_ Systematic extramural distribution (e.g., rentals, sales) will be made by:  
 \_\_\_\_\_ Author(s)  
 \_\_\_\_\_ UC campus unit (specify): \_\_\_\_\_  
 \_\_\_\_\_ External agency (specify): \_\_\_\_\_  
 \_\_\_\_\_ Other (specify): \_\_\_\_\_  
 Projected release date: \_\_\_\_\_  
 Estimated cost of distribution: \_\_\_\_\_  
 Funding source(s): \_\_\_\_\_

12. Disposition of Net Proceeds of Extramural Distribution

<u>Category</u>	<u>% of Net</u>	<u>Estimated Amt.</u>
Reimbursement of University Expenses:		
Acct. name: _____		
Acct. number: _____	_____	_____
Apply to Sponsored Research Project:		
Award name: _____		
Acct. number: _____		
Credit to Divisional Income Account:		
Acct. name: _____		
Acct. number: _____	<u>16.66%</u>	_____
Credit to Departmental Income Account:		
Acct. name: _____		
Acct. number: _____	<u>16.67%</u>	_____
Credit to Chancellor's Discretionary Funds:		
Acct. name: _____		
Acct. number: _____	<u>33.33%</u>	
Royalty to be paid to author		
	_____	_____
Royalty to be paid to co-author		
	<u>33.34%</u>	_____
Royalty to be paid to: _____		
	_____	_____
Total estimated net proceeds	<u>100%</u>	<u>\$</u> _____

Other specifications: \_\_\_\_\_

13. Authors' Certifications

Any and all works copyrighted by others and included in the material described herein have been included with the written permission of the copyright owners. Appropriate statements of consent, release and indemnification have been provided by all creative contributors or participants to the production of this material. Copies of all necessary permissions and releases are attached. I/we accept full responsibility for these permissions and releases and for the content of this material, except as follows: \_\_\_\_\_

(Note: In the event that there are any exceptions to the above certifications, a detailed explanation must be provided on a separate attachment, to be signed by the author or contributor involved.)

Signed: \_\_\_\_\_ Date: \_\_\_\_\_  
(Author)

\_\_\_\_\_ Date: \_\_\_\_\_  
(Co-author)

14. Approvals

The following parties have read, understand and agree to the provisions of this agreement, including those concerning copyright ownership, disposition of the material, and allocation of royalties. The parties agree that all materials contributed by the authors and other contributors constitute a work(s) made for hire under the federal Copyright Act of 1976 ("the Act"). To the extent said work(s) do not constitute a work made for hire under the Act, the author(s) and contributors agree to assign all right, title, and interest, including the copyright and all copyright rights, in the Work to University.

Author \_\_\_\_\_ Date \_\_\_\_\_

Co-author \_\_\_\_\_ Date \_\_\_\_\_

Campus Copyright Coordinator \_\_\_\_\_ Date \_\_\_\_\_

Sponsored Projects Director \_\_\_\_\_ Date \_\_\_\_\_  
(Required when sponsored research funding is involved.)

Department Head \_\_\_\_\_ Date \_\_\_\_\_

Dean or Provost \_\_\_\_\_ Date \_\_\_\_\_

Vice Chancellor \_\_\_\_\_ Date \_\_\_\_\_

**ATTACHMENT I—Brief Description of Materials Being Produced.**

## ATTACHMENT II—Production Financing by Fund Source

Summarize the total production cost of the materials. For each entry, give the estimated monetary value, the basis upon which the value is calculated (e.g., hours x salary, standard rates, etc.), and the funding source, including University account name and number. Also include the approximate value of personal time of employees and voluntary contributions of time by non-employees (including students), as well as the fair value of donated goods and services.

<u>Category</u>	<u>Basis</u>	<u>Est. Value</u>	<u>Fund Source</u>
<b>Faculty Time:</b>			
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
<b>Staff Time:</b>			
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
<b>Supplies/Materials:</b>			
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
<b>Other Expenses:</b>			
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
<b>Equipment Purchase or Rental:</b>			
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____

Total Project Costs \_\_\_\_\_

Breakdown of Total Project Costs

Dollar Amt.

Percentage of Total

University contribution \_\_\_\_\_

Extramural agency contribution \_\_\_\_\_

Other contribution (specify) \_\_\_\_\_

Total \_\_\_\_\_

100%

**ATTACHMENT III—Titles and Credits to be Incorporated**

List all main titles and credits *verbatim*, as presently planned for incorporation in the material. Significant changes in the wording or titles and/or credits should be initialed by the appropriate persons and attached as an amendment to this statement.

Omit minor labels, subscripts, etc.

Indicate clearly any planned use of the name “University of California” or the abbreviation “UC” (without reference to the Santa Cruz campus), or of the unofficial corporate seal of the University of California.

If the copyright to this material will belong to the University, the material must include the following copyright notice in a conspicuous place: “Copyright (year of creation) The Regents of the University of California. All rights reserved.”

Check guidelines of extramural funding agency, if any, for proper form of acknowledgments and/or disclaimers pertaining to the agency.

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**Title:**

**Authors:**

**Credits:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Copyright notice:** Copyright 1998 The Regents of the University of California. All rights reserved.

**Agency Acknowledgment of Support and Disclaimer:**

This material is based upon work supported by the \_\_\_\_\_ under Grant No. \_\_\_\_\_. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the \_\_\_\_\_.